

9200/3703

#7

TRANSMITTAL LETTER			Case No. 11362/14
Serial No. 09/918,922	Filing Date July 30, 2001	Examiner Not Assigned	Group Art Unit Not Assigned
Inventor(s) PAULMAN ET AL.			
Title of Invention FOLDED, BENT AND RE-EXPANDED HEAT EXCHANGER TUBES AND ASSEMBLIES			

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is SUBMISSION OF SUBSTITUTE SPECIFICATION; 19 PAGES OF SPECIFICATION, INCLUDING TITLE PAGE; RETURN POST CARD.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☐ No additional fee is required.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity	
Rate	Add'l Fee
x \$9 =	
x 42 =	
+ \$140 =	
Total add'l fee	\$

Other Than Small Entity	
Rate	Add'l Fee
x \$18 =	
x \$84 =	
+ \$280 =	
Total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$ \_\_\_\_\_ to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

WILLIAM F. PRENDERGAST  
Registration No. 34,699  
Attorney for Applicant

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, ILLINOIS 60610  
(312) 321-4200

I hereby certify that this correspondence is being delivered to the Commissioner for Patents, Washington, D.C. 20231, on November 21, 2002.

Date: 11/29/02

Signature:

I hereby certify that this correspondence is being  
hand delivered to the Assistant Commissioner for  
Patents, Washington, D.C. 20231, on

11/21/02  
\_\_\_\_\_  
WILLIAM F. PRENDERGAST

\_\_\_\_\_  
Name of applicant, assignee or  
Registered Representative

\_\_\_\_\_  
Signature

11/20/02  
\_\_\_\_\_  
Date of Signature



# 7

Case No. 11362/14

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

PAULMAN ET AL.

Serial No.: 09/918,922

Filed: July 30, 2001

For: Folded, Bent and Re-Expanded  
Heat Exchanger Tubes and  
Assemblies

Examiner: Not Assigned  
Group Art Unit: Not Assigned

**SUBMISSION OF SUBSTITUTE SPECIFICATION**

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

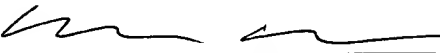
In response to the Notice to File Corrected Application Papers, please find  
attached a substitute specification in compliance with 37 CFR 1.52.

The above-identified mailing was only recently brought to Applicants'  
attorneys attention through a telephone call from Ms. Hayward at the United States  
Patent and Trademark Office. While the above-identified application was transferred  
to the firm of Brinks Hofer Gilson & Lione in December 2001, there is nothing in the  
file or any other record in possession of the undersigned attorney that indicates that  
the Notice to File Corrected Application Papers was ever received by Applicants'

former attorneys. Accordingly, the Notice to File Corrected Application Papers was apparently lost in the United States Postal Service.

Respectfully submitted,

Dated: 11/20/02

  
\_\_\_\_\_  
WILLIAM F. PRENDERGAST  
Registration No. 34,699  
Attorney for Applicants

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200